

011204

16138 U.S. PTO

PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.	386998045US
First Inventor	Erik S. Jeng
Title	MASK ROM AND THE METHOD OF FORMING THE SAME AND THE SCHEME OF READING THE DEVICE
Express Mail Label No.	EV343594392US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification (Total Pages 16)
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 4)
5. Oath or Declaration (Total Pages 4)
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement of Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____ / _____
Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

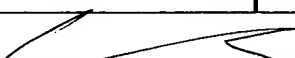
☐ Claims the benefit of _____ Application No. _____

19. CORRESPONDENCE ADDRESS☒ Customer Number

25096

or ☐ Correspondence address below

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Chun M. Ng	Registration No. (Attorney/Agent)	36,878
Signature		Date	1/12/04

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Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

22581 U.S. PTO
10/756051

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PTO/SB/17 (10-03)

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<h1>FEE TRANSMITTAL</h1> <h2>for FY 2004</h2> <p>Effective 10/01/2003. Patent fees are subject to annual revision.</p>		Complete if Known	
		Express Mail No.	EV343594392US
		Application Number	
		Filing Date	
		First Named Inventor	Erik S. Jeng
		Examiner Name	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Art Unit	
TOTAL AMOUNT OF PAYMENT (\$) 594.00		Attorney Docket No.	386998045US

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)					
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		3. ADDITIONAL FEES					
<input type="checkbox"/> Deposit Account: Deposit Account Number 50-0665 Deposit Account Name		Large Entity Small Entity					
The Commissioner is authorized to: (check all that apply)		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
<input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments		1051	130	2051	65	Surcharge - late filing fee or oath	
<input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		1053	130	1053	130	Non-English Specification	
FEE CALCULATION		1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1. BASIC FILING FEE		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Large Entity	Small Entity	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
Fee Code	Fee (\$)	1251	110	2251	55	Extension for reply within first month	
1001	770	1252	420	2252	210	Extension for reply within second month	
2001	385	1253	950	2253	475	Extension for reply within third month	
1002	340	1254	1,480	2254	740	Extension for reply within fourth month	
2002	170	1255	2,010	2255	1,005	Extension for reply within fifth month	
1003	530	1401	330	2401	165	Notice of Appeal	
2003	265	1402	330	2402	165	Filing a brief in support of an appeal	
1004	770	1403	290	2403	145	Request for oral hearing	
2004	385	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1205	160	1452	110	2452	55	Petition to revive - unavoidable	
2005	80	1453	1,330	2453	665	Petition to revive - unintentional	
SUBTOTAL (1) (\$) 385		1501	1,330	2501	665	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1502	480	2502	240	Design issue fee	
Total Claims	34	1503	640	2503	320	Plant issue fee	
Independent Claims	4	1460	130	1460	130	Petitions to the Commissioner	
Multiple Dependent		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Extra Claims		1806	180	1806	180	Submission of Information Disclosure Stmt	
Fee from below		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40
Fee Paid		1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
Total Claims		1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
-20** = 14		1801	770	2801	385	Request for Continued Examination (RCE)	
X 9 = 126		1802	900	1802	900	Request for expedited examination of a design application	
Independent Claims		Other fee (specify)					
- 3** = 1		*Reduced by Basic Filing Fee Paid					
X 43 = 43		SUBTOTAL (3) (\$) 40					
Multiple Dependent							
Large Entity							
Small Entity							
Fee Code	Fee (\$)	Fee Description					
1202	18	Claims in excess of 20					
2202	9	Independent claims in excess of 3					
1201	86	Multiple dependent claim, if not paid					
2201	43	** Reissue independent claims over original patent					
1203	290	** Reissue claims in excess of 20 and over original patent					
2203	145						
1204	86						
2204	43						
1205	18						
2205	9						
SUBTOTAL (2) (\$) 169							

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		(Complete if applicable)	
Name (Print/Type)	Chun M. Ng	Registration No. (Attorney/Agent)	36,878
Signature		Telephone	206-359-8000
		Date	11/12/04

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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